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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
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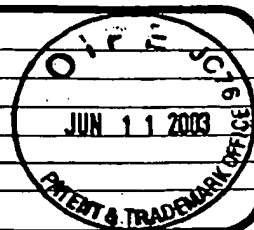
Date Submitted: June 11, 2003

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/763,362
 Filing Date 04/23/2002
 First Named Inventor Kazuma TOMIZUKA
 Group Art Unit 1632
 Examiner Name Thaian N. Ton
 Attorney Docket Number 081356-0158

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
/TNT/ ↓ /TNT/	A1	5,614,396		Bradley et al.	03/25/1997	RECEIVED JUN 13 2003 TECH CENTER 1600/2900
	A2	5,543,319		Fournier et al.	08/06/1996	
	A3	4,959,317		Sauer	09/25/1990	
	A4	5,270,201		Richards et al.	12/14/1993	
	A5	6,395,487	B1	Bradley et al.	05/28/2002	
	A6	6,461,818	B1	Bradley et al.	10/08/2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
/TNT/ ↓ /TNT/	A7	EP	0 843 961	A1	Kirin Beer Kabushiki Kaisha	05/27/1998		
	A8	WO	98/54348	A1	Babraham Institute	12/03/1998		
	A9	WO	97/49804	A1	Baylor College of Medicine	12/31/1997		
	A10	WO	98/46733	A1	Imperial College of Science Technology and Medicine	10/22/1998		
	A11	WO	91/19796	A1	Baylor College of Medicine	12/26/1991		
	A12	WO	95/32297	A1	Cancer Research Campaign Technology Limited	11/30/1995		
	A13	WO	89/09219	A1	The General Hospital Corporation	10/05/1989		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
/TNT/ ↓ /TNT/	A14	Tomisuka K. et al., "Function Expression and Germline Transmission of a Human Chromosome Fragment in Chimaeric Mice", <i>Nature Genetics</i> , 1997, Vol. 16, pp. 133-143.	
	A15	Dieken E. S. et al., "Efficient Modification of Human Chromosomal Alleles Using Recombination-Proficient Chicken/Human Microcell Hybrids", 1996, <i>Nature Genetics</i> , Vol. 12, pp. 174-182.	
	A16	Smith A. J.H. et al., "A Site-Directed Chromosomal Translocation induced in embryonic Stem Cells by Cre-loxP Recombination", 1995, <i>Nature Genetics</i> , Vol. 9, pp. 376-385.	
	A17	Wilmut I et al., "Viable Offspring Derived from Fetal and Adult Mammalian Cells, <i>NATURE</i> , 1997, Vol. 385., P. 810.	
	A18	Ramirez-Soliz R. et al., "Chromosome Engineering in Mice", <i>NATURE</i> , 1995, Vol. 378, pp. 720-724.	

Examiner Signature

/Thaian Ton/

Date

Considered

12/13/2007

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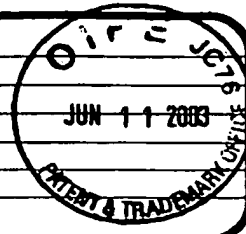
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/763,362
		Filing Date	04/23/2002
Date Submitted: June 11, 2003 (use as many sheets as necessary)		First Named Inventor	Kazuma TOMIZUKA
		Group Art Unit	1632
Sheet 2 of 2		Examiner Name	Thaian N. Ton
		Attorney Docket Number	081356-0158



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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ⁶
/TNT/	A19	Mills W. et al., "Generation of ~2.4 Mb Human X Centromere-Based Minichromosome by Targeted Telomere-Associated Chromosome Fragmentation in DT40", <i>Human Molecular Genetics</i> , 1999, Vol. 8, No. 5, pp. 751-761.		
	A20	Farr C.J. et al., "Generation of a Human X-Derived Minichromosome using Telomere-Associated Chromosome Fragmentation", <i>The EMBO Journal</i> , 1995, Vol. 14, No. 21, pp. 5444-5454.		
	A21	Shen M.H. et al., "Human Mini-Chromosomes in Mouse Embryonal Stem cells", <i>Human Molecular Genetics</i> , 1997, Vol. 6, No. 8, pp. 1375-1382.		
	A22	Brown W. et al., "Mammalian Artificial Chromosomes", <i>Genetics of Disease</i> , pp. 281-288, 1996		
	A23	Quin M. et al., "Cre Recombinase-Mediated Site-Specific Recombination Between Plant Chromosomes", <i>Proc. Natl. Acad. Sci. USA</i> , 1994, Vol. 91, pp. 1706-1710.		
	A24	Koi M. et al., "Tumor Cell Growth Arrest Caused by Subchromosomal Transferable DNA Fragments From Chromosome 11", <i>SCIENCE</i> , 1993, Vol. 260.		
	A25	Schnieke A.E. et al., "Human Factor IX Transgenic Sheep Produced by Transfer of Nuclei From Transfected Fibroblasts", <i>SCIENCE</i> , 1997, Vol. 278.		
	A26	Cibelli J.B. et al., "Cloned Transgenic Calves Produced from Nonquiescent Fetal Fibroblasts", 1998, <i>SCIENCE</i> , Vol. 280.		
	27	Kuroiwa Y. et al., "Efficient Modification of a Human Chromosome by Telomere-Directed Truncation in High Homologous Recombination-Proficient Chicken DT40 Cells", <i>Nucleic Acids Research</i> , 1998, Vol. 26, No. 14, pp. 3447-3448.		
/TNT/	28	Grimes B. et al., "Engineering Mammalian Chromosomes", <i>Human Molecular Genetics</i> , 1998, Vol. 7, No. 10 Review, pp. 1635-1640.		



Examiner Signature	/Thaian Ton/	Date Considered	12/13/2007
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/763,362
Date Submitted: April 28, 2005		Filing Date	04/23/2001
(use as many sheets as necessary)		First Named Inventor	Kazuma TOMIZUKA
		Group Art Unit	1632
		Examiner Name	Thaian N. Ton
		Attorney Docket Number	081356-0158

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NON PATENT LITERATURE DOCUMENTS			
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/TNT/	A1	Ellen S. DIEKEN, et al., "Homologous Modification of Human Chromosomal Genes in Chicken B-Cell x Human Microcell Hybrids," METHODS: A Companion to Methods in Enzymology 9, 56-63 (1996), Article No. 0008	
/TNT/	A2	Jean-Michel H. VOS, "Mammalian artificial chromosomes as tools for gene therapy," Current Opinion Genetics & Development 1998, 8:351-359	

Examiner Signature	/Thaian Ton/	Date Considered	12/13/2007
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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form **PTO 442B/PTO 442B-1**
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	09/763,362
Filing Date	4/23/2001
First Named Inventor	Kazuma TOMIZUKA
Group Art Unit	1632
Examiner Name	Thaian N. Ton
Attorney Docket Number	081356-0158

U.S. PATENT DOCUMENTS

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/TNT/	A1	Marianne BRÜGGEMANN et al., "Production of Human Antibody Repertoires in Transgenic Mice", <i>Current Opinion in Biotechnology</i> , 1997, 8:455-458.	

**Examiner
Signature**

/Thaian Ton/

Date
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12/13/2007

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Application Number	09/763,362
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